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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	09/837,806
Filing Date	April 18, 2001
First Named Inventor	Agrawal
Group Art Unit	
Examiner Name	
Attorney Docket Number	HYZ-069CN (47508-407)

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Response
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Small Entity Statement
- Request for Refund

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Additional Enclosure(s) (please identify below)
- Postcard

Remarks

1. Formal Drawings (Figs. 1-3) - 3 Sheets
2. Request and Statement Under 37 C.F.R. 1.821(e)
3. Copy of Notice to File Corrected Application Papers

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name *Ann-Louise Kerner, Ph.D.* (Reg. No. 33,523)

Signature *Ann-Louise Kerner*

Date *Sept. 27, 2001*

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: *9-27-01*

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Signature *Melanie Legare*

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. HYZ-069CN (47508.407))

In re Application of: **Agrawal**) Examiner: **Not Yet Assigned**
Serial No: **09/837,806**) Art Unit:
Filed: **April 18, 2001**)

For: NOVEL HIV-SPECIFIC SYNTHETIC OLIGONUCLEOTIDES
AND METHODS OF THEIR USE

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR 1.8

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Date: 9-27-01

Washington, DC 20251 on the date indicated below.

Melanie Legare

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Washington, D.C. 20231

RESPONSE

Dear Sir:

In response to the Notice to File Corrected Application Papers (copy enclosed), please be advised that present application is a continuation of U.S. Patent Application No. 08/914,827 filed August 19, 1997. In accordance with 37 C.F.R. 1.821 (e), the computer readable form of the Sequence Listing for present application is identical to that of the parent application. The computer readable form of the Sequence Listing of the parent application (submitted August 19, 1997) was compliant with all of the requirements of the U.S. Patent and Trademark Office. Therefore, a duplicate computer readable Sequence Listing is not required for present application.

U.S.S.N. 09/837,806

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Applicants hereby request that the computer readable Sequence Listing from U.S. Patent Application No. 08/914,827 also be used for U.S. Patent Application No. 09/837,806. The Sequence Listing for the present application (09/837,806) is identical to the computer readable copy and the written copy of the Sequence Listing for the parent application (U.S. Patent Application No. 08/914,827).

Also enclosed are formal drawings, Figures 1-3, in compliance with 37 C.F.R. 1.84.

No additional fees are believed to be due in connection with this filing. However, please charge any underpayments or credit any overpayments to our Deposit Account No. 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,
HALE AND DORR LLP

Ann-Louise Kerner
Ann-Louise Kerner, Ph.D., Reg. No. 33,523
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,806	04/18/2001	Sudhir Agrawal	HYZ-069 CN

CONFIRMATION NO. 8489
FORMALITIES LETTER


OC00000006456931

Ann-Louise Kerner, Ph.D.
Hale And Dorr LLP
60 State Street
Boston, MA 02109-1816

Date Mailed: 08/22/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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